



Part 2B of Form ADV: Brochure Supplement

04/01/2021

Item 1. Cover Page

HAROLD ALAN SCHWARTZ

DMK Advisor Group, Inc.
17961 Hunting Bow Circle, Suite 102
Lutz, Florida 33558
(303) 470-5664

This brochure supplement provides clients with information about Harold Schwartz that supplements the DMK Advisor Group, Inc. disclosure brochure. You should have received a copy of that brochure. Please contact Stephen Kohn, 303-880-4304, if you did not receive the DMK Advisor Group, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Harold Schwartz is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

HAROLD ALAN SCHWARTZ

Year of Birth: 1948

Education

State University of New York at New Paltz, Bachelor's Degree, Psychology and Sociology, 1971

Business Experience

Compass Financial Management, LLC, 2021 - Present

DMK Advisor Group, Inc., 2019 - Present

Royal Alliance Associates, 2015 - 2019

New Century Financial Group, LLC, 2015 - 2018

The Leaders Group, Inc., 1995 - 2015

TLG Advisors, Inc., 2006 - 2015

D. E. Frey & Company, Inc., 1993 - 1995

PRUCO Securities Corporation, 09992 - 1993

Lehman Brothers, Inc., 1988 - 1990

Professional Licenses/Designations

Series 7 - General Securities Representative Exam (Stockbroker)

To obtain the Series 7 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 24 - General Securities Principal Exam

To obtain the Series 24 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also

required to be taken is a computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 63 - Uniform Securities Agent State Law Exam

To obtain the Series 63 an initial qualifying exam administered by the Financial Industry

Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 66 - Uniform Investment Adviser, Combined State Laws Exam 66

To obtain the Series Combined 63 and 65 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Item 3. DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that may affect your decision to do business with Harold Schwartz. Mr. Schwartz has one material disciplinary event to report. Please log onto FINRA BrokerCheck (www.BrokerCheck.com) for information.

Item 4. OTHER BUSINESS ACTIVITIES

Your advisor is also a registered representative of DMK Advisor Group, Inc. ("DMK") As such, your advisor may recommend the purchase of securities from DMK. If you purchase securities from DMK, your advisor will receive commissions on the sale of investment products and in certain instances receive ongoing 12b-1 fees, in addition to the receipt of advisory fees for advisory services such as financial planning services. Such securities will be segregated from the total assets under management and under no circumstances will securities purchased from DMK be subject to management fees as well.

Your advisor is also an insurance agent and receives commissions on the sale of insurance products and in certain instances, payments for the renewal of certain insurance products, in addition to fees for advisory services, such as financial planning services.

These payments vary by insurance product and company and may provide different incentives depending on the amount of the renewal payment. In some instances, sales commissions from life insurance provide a higher deposit rate to registered representatives than investment products. As such, a registered representative may have a financial incentive to promote certain life insurance products over other investment products. While the firm and your financial advisor intend to provide

recommendations of products and services they believe are suitable for you, you should carefully evaluate each product or service recommendation based on your own financial situation and investment objectives.

Registered Representative, sale of insurance and investment products: variable life and annuities, mutual funds. Commissions.

Licensed Insurance Agent, sale of fixed life and annuity products. Commissions

Please note that registered representative and insurance agent activities are reviewed and supervised by DMK. This review includes transactions that you may conduct in your accounts based on specific recommendations to purchase products and/or services made by your registered representative.

In addition, Mr. Schwartz as other outside business relationships, as follows:

1. Del Mar Group Inc. - Since 01/01/1993 7114 W Jefferson Ave Lakewood, Co 80235 - Sec Related - President Fin'l Svcs Bsn - Devote Approx 20 Hrs P/M

2. Coquina Key Publishing, LLC Position: Managing Member Nature: LLC Investment Related: No, Securities Trading Hours: 1 Start Date: 10/24/2013 Address 7114 W Jefferson Ave. Lakewood Co 80235: Description: Publishing Company

3. Dmg Financial Llc Position: Managing Member Nature: Llc Investment Related: Yes, Securities Trading Hours: 5 Start Date: 01/01/1993 Address: 7114 W. Jefferson, Ave Lakewood Co 80235 Description: Marketing, Management

Item 5. ADDITIONAL COMPENSATION

As discussed previously above, your advisor is a registered representative and may also be an insurance agent. In addition to the receipt of advisory fees, traditional commissions and ongoing 12b-1 fees, DMK may pay bonuses based on a registered representative's overall product and/or service sales, including with respect to advisory business, conduct sales incentive contests or provide marketing payments to its financial advisors to the extent permitted under applicable law. As a result, these arrangements may create a conflict of interest. While DMK and your financial advisor intend to provide recommendations of products and services they believe are suitable for you, you should carefully evaluate each product or service recommendation based on your own financial situation and investment objectives.

Financial advisors may receive compensation from someone other than a client for providing investment advice or other advisory services to clients by referring clients to other investment advisers. As such, there may be potential conflicts of interests with these arrangements, including situations where the compensation paid to the firm or the financial advisor differs based on the particular third-party adviser. Therefore,

financial advisors may have an economic incentive to recommend one third-party adviser over another. Additionally, certain third-party advisers may provide reimbursements to financial advisors as an offset for marketing and seminar materials for the advisory products and services offered. These situations may also create conflicts of interest that you should carefully consider.

Item 6. SUPERVISION

DMK supervises the investment advisory services provided by its financial advisors through a variety of methods, including a review by a licensed principal prior to a client's enrollment in any investment advisory service or prior to any new account opening. This review is designed to ensure that the products and services offered and recommended to clients are appropriate based on the particular client's situation. Additionally, the Firm conducts periodic, ongoing supervision related to its investment advisory services. These efforts, which vary in frequency, include review based on a number of different factors, including but not limited to specific account activity and changes in a client's financial situation or investment objectives.

The individual responsible for supervising HAROLD SCHWARTZ is Stephen Kohn. He may be reached at (303) 470-5664.